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LEIPZIG

Medizinische Fakultät

ONTOLOGICAL MODELLING AND REASONING OF PHENOTYPES

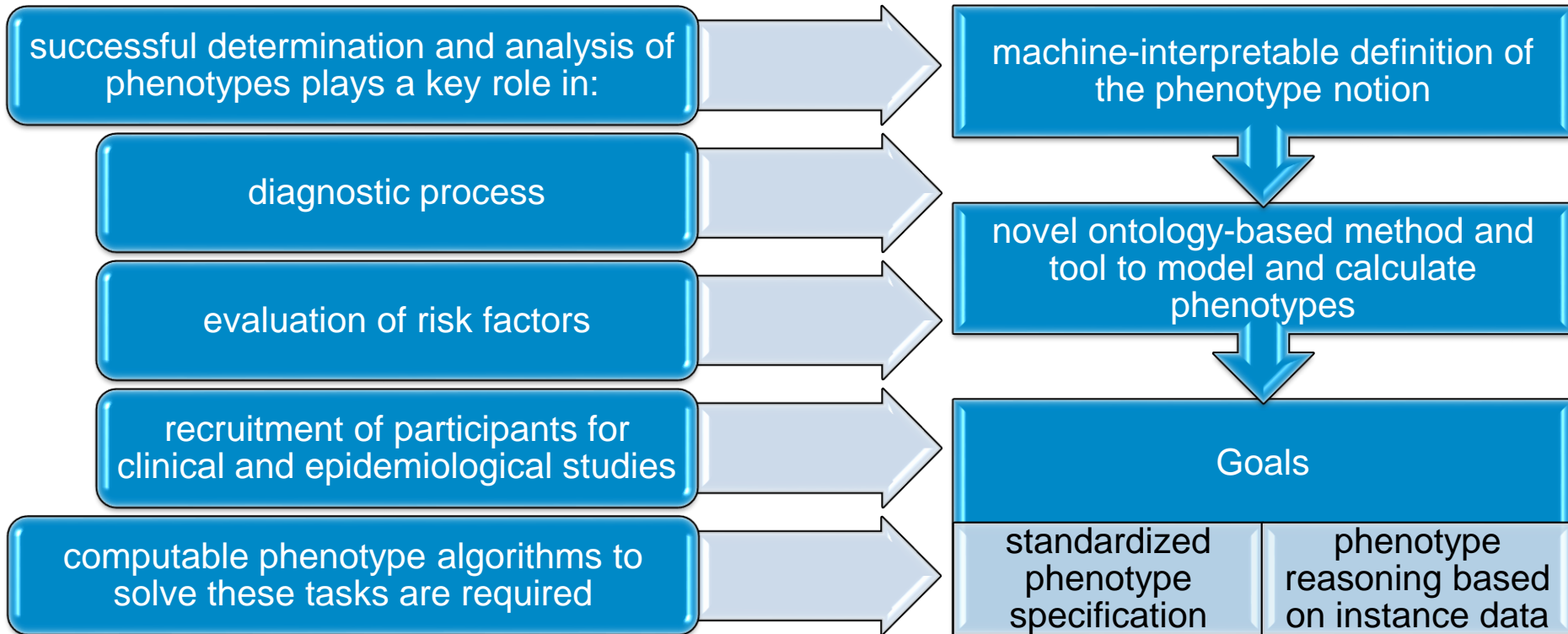
JOWO/ODLS, September 2019, Graz

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Frank A. MEINEKE, Heinrich HERRE*

Agenda

1. Motivation
2. Smart Medical Information Technology for Healthcare (SMITH)
3. PhenoMan Integration in SMITH
4. Core Ontology of Phenotypes (COP)
5. Phenotype Algorithm Specification Ontologies (PASO)
6. Phenotype Manager (PhenoMan)
7. Conclusion

Motivation



Smart Medical Information Technology for Healthcare (SMITH)

- The main goal of the German Medical Informatics Initiative (MII) is making clinical data available for research
- SMITH is one of four funded consortia



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Smart Medical Information Technology for Healthcare (SMITH)

- The main goal of the German Medical Informatics Initiative (MII) is making clinical data available for research
- SMITH is one of four funded consortia
- A phenotyping pipeline (PheP) will be established to systematically develop, evaluate and execute validated algorithms and models for classifying and annotating patient data based on routine electronic health records (EHR)
 - triggering alerts and actions
 - data sharing and deep analyses of patient care and outcomes
 - phenotype engines and factories required to specify, set up and execute phenotype algorithms

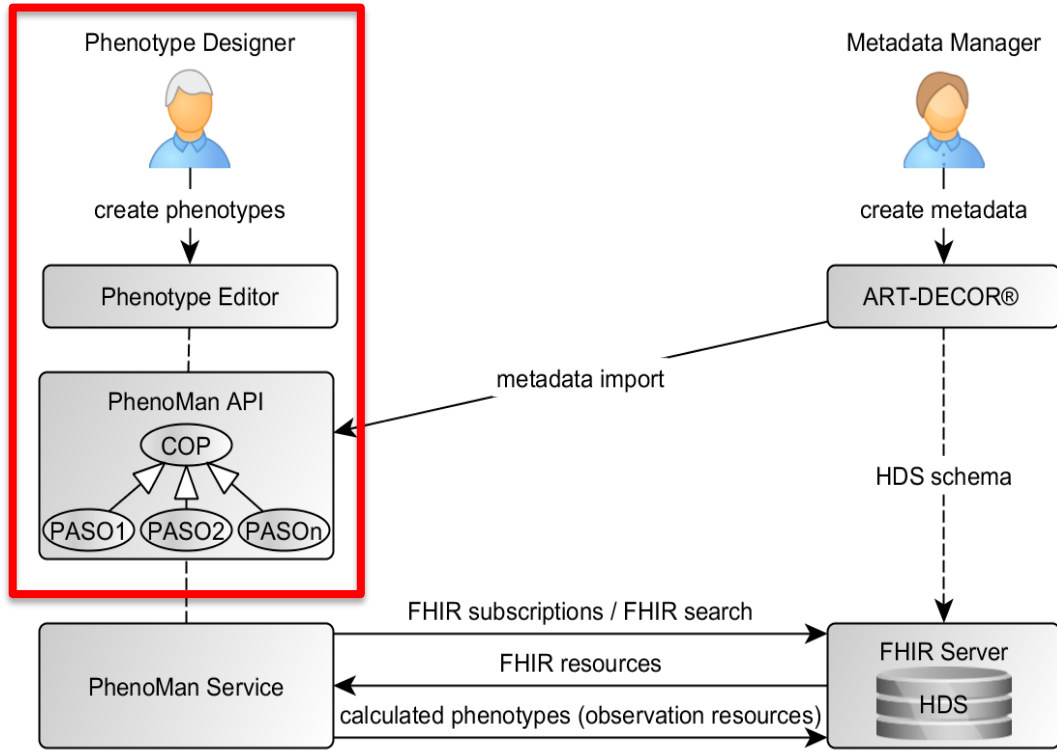


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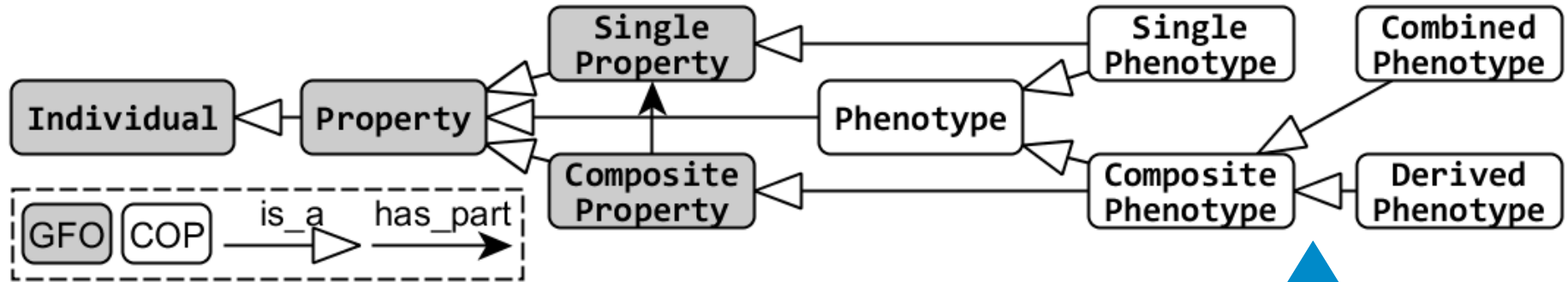
PhenoMan Integration in SMITH



Sources (last access: 2019-09-16):
<https://www.hl7.org/fhir/>
<https://art-decor.org>

ONTOLOGICAL MODELLING OF PHENOTYPES

Core Ontology of Phenotypes (COP)



- Phenotype vs. Phenotype Class
- Single vs. Composite Phenotypes
- Restricted vs. non-Restricted Phenotype Classes



Phenotype Algorithm Specification Ontologies (PASO)

- Model specific phenotypes (algorithms) using the COP
- Are embedded in the COP
 - the classes of the PASO are subclasses of the COP classes
 - every PASO subclass of the COP classes `cop:Single_Phenotype`, `cop:Combined_Phenotype` or `cop:Derived_Phenotype` is a phenotype class and is instantiated by phenotypes
 - direct subclasses are non-restricted, while the subclasses of the non-restricted phenotype classes are restricted

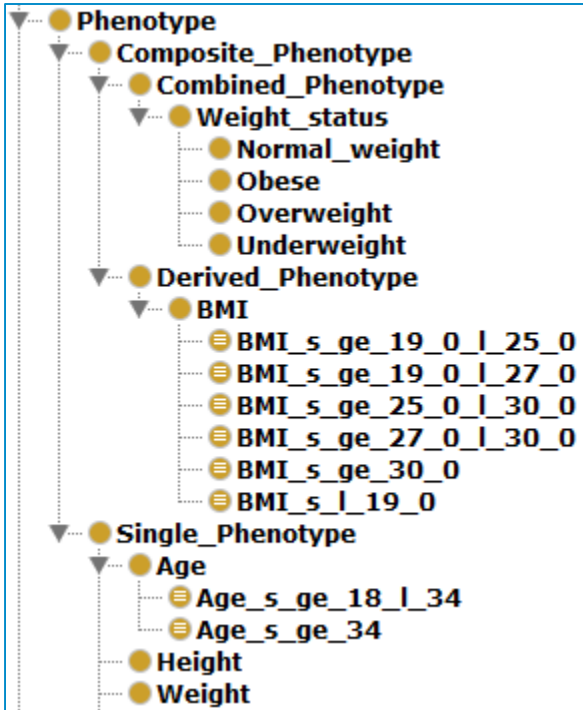


Example: BMI-PASO

	Underweight	Normal weight	Overweight	Obese
Age: ≥ 18; < 34	BMI: < 19	BMI: ≥ 19 ; < 25	BMI: ≥ 25 ; < 30	BMI: ≥ 30
Age: ≥ 34	BMI: < 19	BMI: ≥ 19 ; < 27	BMI: ≥ 27 ; < 30	BMI: ≥ 30

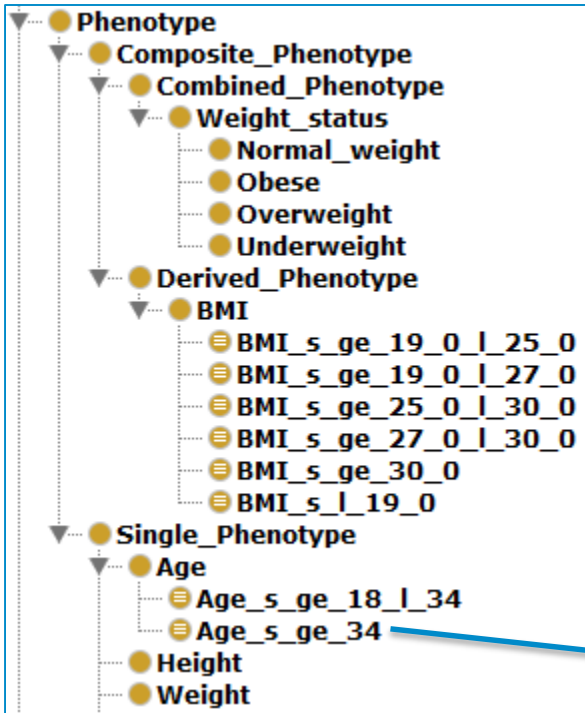
Example: BMI-PASO

	Underweight	Normal weight	Overweight	Obese
Age: ≥ 18 ; < 34	BMI: < 19	BMI: ≥ 19 ; < 25	BMI: ≥ 25 ; < 30	BMI: ≥ 30
Age: ≥ 34	BMI: < 19	BMI: ≥ 19 ; < 27	BMI: ≥ 27 ; < 30	BMI: ≥ 30



Example: BMI-PASO

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Age: ≥ 18 ; < 34	BMI: < 19	BMI: ≥ 19 ; < 25	BMI: ≥ 25 ; < 30	BMI: ≥ 30
Age: ≥ 34	BMI: < 19	BMI: ≥ 19 ; < 27	BMI: ≥ 27 ; < 30	BMI: ≥ 30

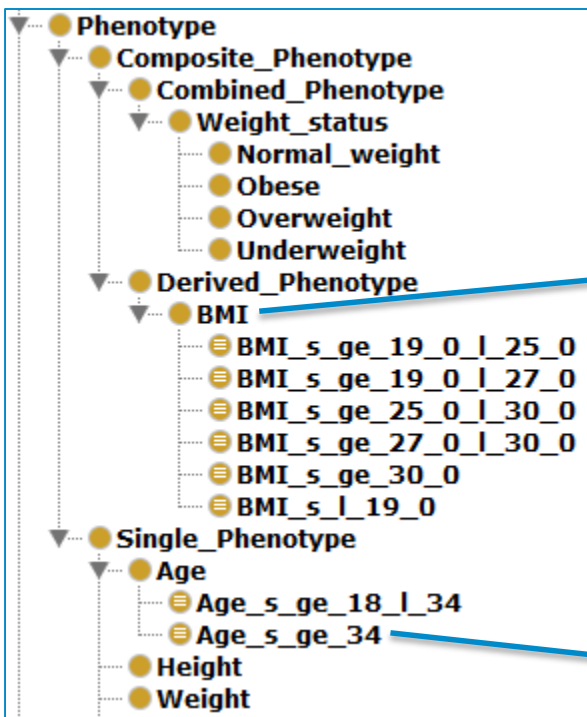


Equivalent To \oplus

- Age
 - and (has_value some xsd:decimal[≥ 34])

Example: BMI-PASO

	Underweight	Normal weight	Overweight	Obese
Age: >=18; <34	BMI: <19	BMI: >=19; <25	BMI: >=25; <30	BMI: >=30
Age: >=34	BMI: <19	BMI: >=19; <27	BMI: >=27; <30	BMI: >=30



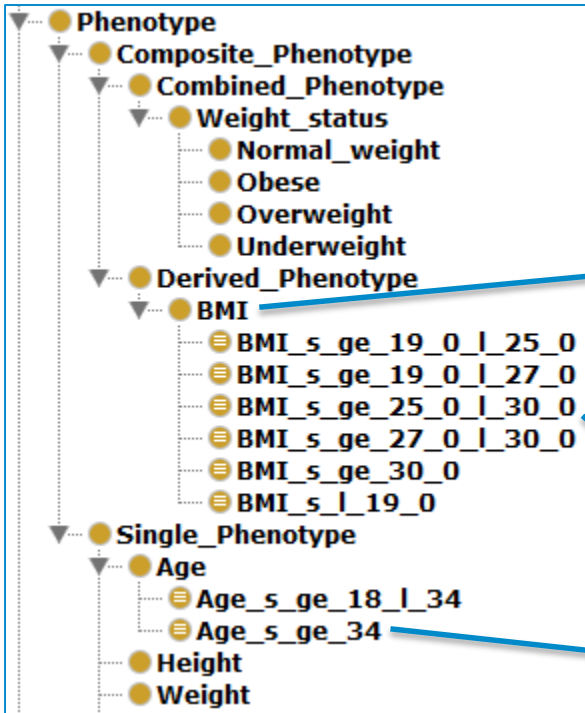
General class axioms +
 ● (has_part some Height) and (has_part some Weight) SubClassOf BMI

formula
 $Weight/Height^2$
 main_title
 BMI
 variable
 ● Height
 variable
 ● Weight

Equivalent To +
 ● Age
 and (has_value some xsd:decimal[>= 34])

Example: BMI-PASO

	Underweight	Normal weight	Overweight	Obese
Age: ≥ 18 ; < 34	BMI: < 19	BMI: ≥ 19 ; < 25	BMI: ≥ 25 ; < 30	BMI: ≥ 30
Age: ≥ 34	BMI: < 19	BMI: ≥ 19 ; < 27	BMI: ≥ 27 ; < 30	BMI: ≥ 30



General class axioms +
 ● (has_part some Height) and (has_part some Weight) SubClassOf BMI

Equivalent To +
 ● BMI
 and (has_value some xsd:decimal[≥ 25.0 , < 30.0])

Equivalent To +
 ● Age
 and (has_value some xsd:decimal[≥ 34])

formula
 $Weight/Height^2$

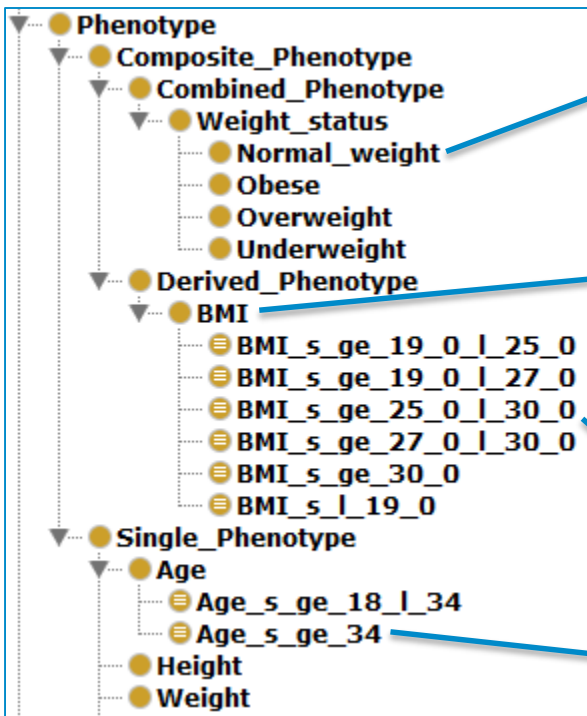
main_title
 BMI

variable
 ● Height

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Example: BMI-PASO

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Age: >=34	BMI: <19	BMI: >=19; <27	BMI: >=27; <30	BMI: >=30



General class axioms +

● ((has_part some Age_s_ge_18 | 34) and (has_part some BMI_s_ge_19_0 | 25_0)) or
 ((has_part some Age_s_ge_34) and (has_part some BMI_s_ge_19_0 | 27_0))
 SubClassOf Normal_weight

General class axioms +

● (has_part some Height) and (has_part some Weight) SubClassOf BMI

Equivalent To +

● BMI
 and (has_value some xsd:decimal[>= 25.0 , < 30.0])

Equivalent To +

● Age
 and (has_value some xsd:decimal[>= 34])

formula	Weight/Height^2
main_title	BMI
variable	● Height
variable	● Weight

TECHNICAL ASPECTS OF THE PHENOTYPE MANAGER (PHENOMAN)

Phenotype Manager (PhenoMan)

Phenotype Manager (PhenoMan)

```
"resourceType": "Observation",
"id": "162209",
"meta": {
  "versionId": "1",
  "lastUpdated": "2019-08-26T11:10:19.353+00:00"
},
"code": {
  "coding": [
    {
      "system": "http://loinc.org",
      "code": "3141-9",
      "display": "Body weight"
    },
    {
      "system": "http://snomed.info/sct",
      "code": "27113001",
      "display": "Body weight (observable entity)"
    }
  ]
},
"subject": {
  "reference": "Patient/157411"
},
"effectiveDateTime": "2019-08-26T13:09:58+02:00",
"valueQuantity": {
  "value": 75.0,
  "unit": "kg",
  "system": "http://unitsofmeasure.org",
  "code": "kg"
},
}
```

HL7 FHIR Resource of weight observation as JSON

Phenotype Manager (PhenoMan)

- Implements a multistage reasoning approach combining standard reasoners (e.g., Pellet or Hermit) and mathematical calculations
- Receives instance data set from a FHIR Server as FHIR resources, interprets and inserts it into the ontology

```
"resourceType": "Observation",
"id": "162209",
"meta": {
  "versionId": "1",
  "lastUpdated": "2019-08-26T11:10:19.353+00:00"
},
"code": {
  "coding": [
    {
      "system": "http://loinc.org",
      "code": "3141-9",
      "display": "Body weight"
    },
    {
      "system": "http://snomed.info/sct",
      "code": "27113001",
      "display": "Body weight (observable entity)"
    }
  ]
},
"subject": {
  "reference": "Patient/157411"
},
"effectiveDateTime": "2019-08-26T13:09:58+02:00",
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  "value": 75.0,
  "unit": "kg",
  "system": "http://unitsofmeasure.org",
  "code": "kg"
},
}
```

HL7 FHIR Resource of weight observation as JSON

Phenotype Manager (PhenoMan)

- Implements a multistage reasoning approach combining standard reasoners (e.g., Pellet or Hermit) and mathematical calculations
- Receives instance data set from a FHIR Server as FHIR resources, interprets and inserts it into the ontology
 - individual properties (single phenotypes) as instances of the direct subclasses of `cop:Single_Phenotype` (e.g., Weight, Height) with property assertions (e.g., “has_value 75” for Weight)
 - a composite phenotype as instance of the class `cop:Composite_Phenotype`, which combines all the single phenotype instances using `has_part` property assertions

```
"resourceType": "Observation",
"id": "162209",
"meta": {
  "versionId": "1",
  "lastUpdated": "2019-08-26T11:10:19.353+00:00"
},
"code": {
  "coding": [
    {
      "system": "http://loinc.org",
      "code": "3141-9",
      "display": "Body weight"
    },
    {
      "system": "http://snomed.info/sct",
      "code": "27113001",
      "display": "Body weight (observable entity)"
    }
  ]
},
"subject": {
  "reference": "Patient/157411"
},
"effectiveDateTime": "2019-08-26T13:09:58+02:00",
"valueQuantity": {
  "value": 75.0,
  "unit": "kg",
  "system": "http://unitsofmeasure.org",
  "code": "kg"
},
}
```

HL7 FHIR Resource of weight observation as JSON

PhenoMan Execution Example (BMI) - I

Composite Phenotype


Age = 40 years

Height = 1.7 m


Weight = 75 kg

1. Classification step

- the single phenotype instances are classified in restricted classes (age ranges)

Equivalent To 
● Age
and (has_value some xsd:decimal[>= 34])

- the composite phenotype instance is classified in composite phenotype classes (BMI)

General class axioms 
● (has_part some Height) and (has_part some Weight) SubClassOf BMI

PhenoMan Execution Example (BMI) - I

Composite Phenotype

Age = 40 years

Height = 1.7 m

Weight = 75 kg

1. Classification step

- the single phenotype instances are classified in restricted classes (age ranges)

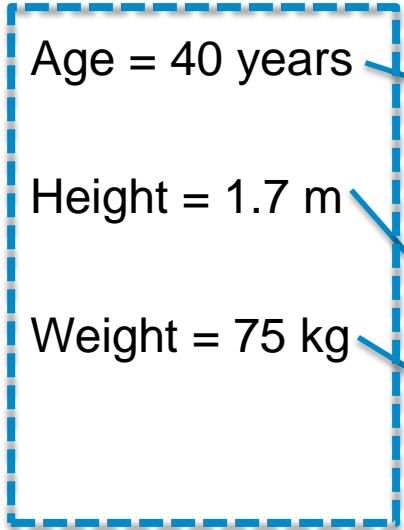
Equivalent To +
● Age
and (has_value some xsd:decimal[>= 34])

- the composite phenotype instance is classified in composite phenotype classes (BMI)

General class axioms +
● (has_part some Height) and (has_part some Weight) SubClassOf BMI

PhenoMan Execution Example (BMI) - I

Composite Phenotype

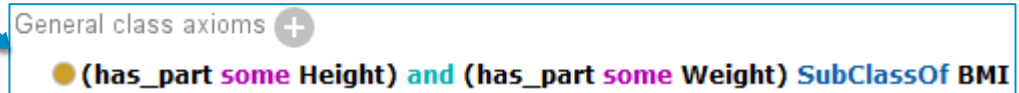


1. Classification step

- the single phenotype instances are classified in restricted classes (age ranges)



- the composite phenotype instance is classified in composite phenotype classes (BMI)



PhenoMan Execution Example (BMI) - II

Composite Phenotype

Age = 40 years

Height = 1.7 m

Weight = 75 kg

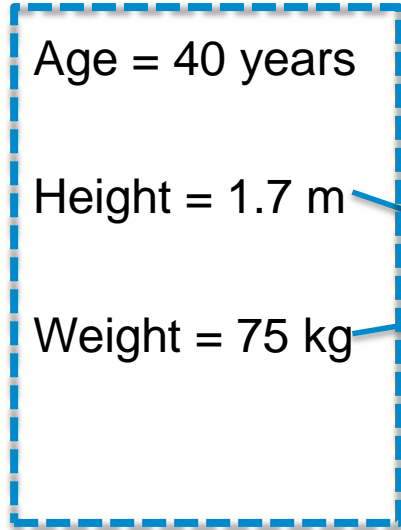
2. Calculation step

- the formula of the derived phenotypes is calculated (BMI)
- a BMI instance with the calculated value (≈ 26) is added to the composite phenotype instance

formula
$\text{Weight}/\text{Height}^2$
main_title
BMI
variable
<input checked="" type="radio"/> Height
variable
<input checked="" type="radio"/> Weight

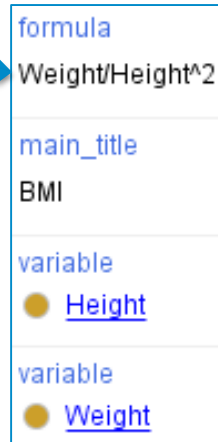
PhenoMan Execution Example (BMI) - II

Composite Phenotype



2. Calculation step

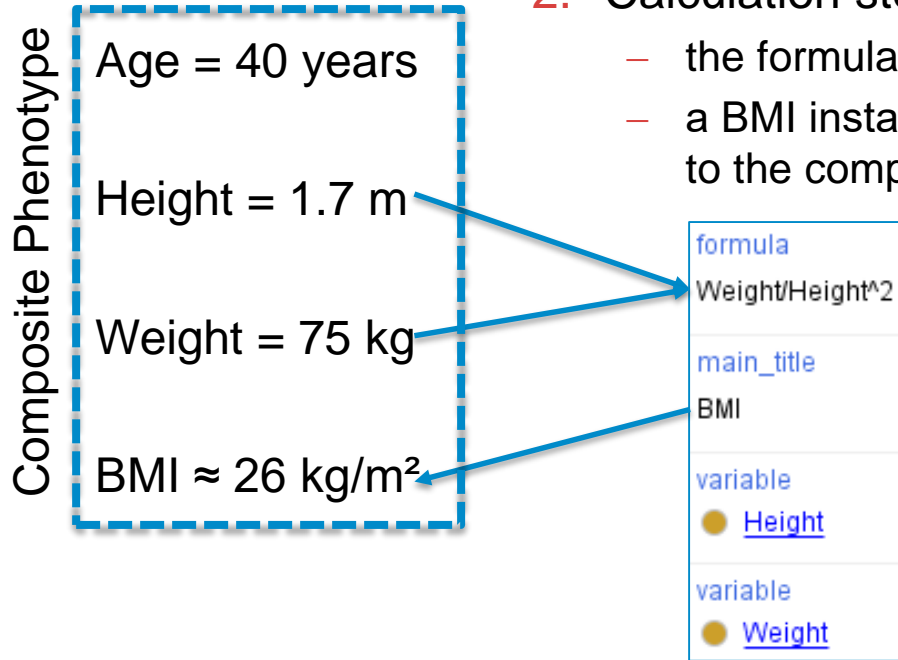
- the formula of the derived phenotypes is calculated (BMI)
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PhenoMan Execution Example (BMI) - II

2. Calculation step

- the formula of the derived phenotypes is calculated (BMI)
- a BMI instance with the calculated value (≈ 26) is added to the composite phenotype instance



PhenoMan Execution Example (BMI) - III

Composite Phenotype

Age = 40 years

Height = 1.7 m

Weight = 75 kg

BMI \approx 26 kg/m²


3. Classification step

- the BMI instance is classified in restricted classes (BMI ranges)

Equivalent To 
● BMI
and (has_value some xsd:decimal[\geq 19.0 , $<$ 27.0])

Equivalent To 
● BMI
and (has_value some xsd:decimal[\geq 25.0 , $<$ 30.0])

- the weight status is derived based on age und BMI range

General class axioms 
● ((has_part some Age_s_ge_18_l_34) and (has_part some BMI_s_ge_19_0_l_25_0)) or
((has_part some Age_s_ge_34) and (has_part some BMI_s_ge_19_0_l_27_0))
SubClassOf Normal_weight

PhenoMan Execution Example (BMI) - III

Composite Phenotype

Age = 40 years

Height = 1.7 m

Weight = 75 kg

BMI \approx 26 kg/m²

3. Classification step

- the BMI instance is classified in restricted classes (BMI ranges)

Equivalent To +
● BMI
and (has_value some xsd:decimal[\geq 19.0 , $<$ 27.0])

Equivalent To +
● BMI
and (has_value some xsd:decimal[\geq 25.0 , $<$ 30.0])

- the weight status is derived based on age und BMI range

General class axioms +
● ((has_part some Age_s_ge_18_l_34) and (has_part some BMI_s_ge_19_0_l_25_0)) or
((has_part some Age_s_ge_34) and (has_part some BMI_s_ge_19_0_l_27_0))
SubClassOf Normal_weight

PhenoMan Execution Example (BMI) - III

Composite Phenotype

Age = 40 years

Height = 1.7 m

Weight = 75 kg

BMI \approx 26 kg/m²

Normal Weight

3. Classification step

- the BMI instance is classified in restricted classes (BMI ranges)

Equivalent To +
● BMI
and (has_value some xsd:decimal[\geq 19.0 , $<$ 27.0])

Equivalent To +
● BMI
and (has_value some xsd:decimal[\geq 25.0 , $<$ 30.0])

- the weight status is derived based on age und BMI range

General class axioms +
● ((has_part some Age_s_ge_18_l_34) and (has_part some BMI_s_ge_19_0_l_25_0)) or
((has_part some Age_s_ge_34) and (has_part some BMI_s_ge_19_0_l_27_0))
SubClassOf Normal_weight

PhenoMan Execution Example (BMI) - IV

- Classification and calculation steps can be repeated several times until all formulas are calculated and all phenotypes are classified
 - if a non-restricted phenotype class has subclasses, which are in turn used in combined phenotypes

PhenoMan Execution Example (BMI) - IV

- Classification and calculation steps can be repeated several times until all formulas are calculated and all phenotypes are classified
 - if a non-restricted phenotype class has subclasses, which are in turn used in combined phenotypes
- PhenoMan writes the results (calculated or derived phenotypes) as observations back to the FHIR server

```
"resourceType": "Observation",
"id": "162211",
"meta": {
  "versionId": "1",
  "lastUpdated": "2019-08-26T11:13:46.102+00:00"
},
"code": {
  "coding": [
    {
      "system": "http://snomed.info/sct",
      "code": "60621009",
      "display": "Body mass index (observable entity)"
    }
  ]
},
"subject": {
  "reference": "Patient/157411"
},
"effectiveDateTime": "2019-08-26T13:13:27+02:00",
"valueQuantity": {
  "value": 25.95155709342561,
  "system": "http://unitsofmeasure.org"
},
"method": {
  "coding": [
    {
      "system": "http://www.smith.org/phenoman",
      "code": "phenoman_0.2.7",
      "display": "generated by Phenotype Manager"
    }
  ]
}
}
```

HL7 FHIR Resource of BMI observation as JSON

Creating PASOs with the Phenotype Editor

The screenshot displays the Phenotype Editor application window. The title bar reads "Phenotype Editor" and the version is "0.4.12". The main menu includes "INTRODUCTION", "ONTOLOGY BROWSER", "EDITOR", and "SETTINGS".

The "Ontology: SOFA" section on the left shows a hierarchical tree of categories. The "SOFA" category is expanded, revealing several sub-categories, with "SOFA Cardiovascular System Score 3" selected and highlighted in blue.

The right-hand panel, titled "REASONING", contains the following fields:

- Identifier:** A unique identifier of the phenotype or category. If this field is left empty, a value will be generated automatically. The value entered is "SOFA_Cardiovascular_System_Score_3".
- Primary Title:** The primary title which will be used for displaying this phenotype in forms or graphics. The value entered is "SOFA Cardiovascular System Score 3".
- Restriction*:** A Boolean-Expression* field with options for "AND", "OR", "(", ")", and "RESET". The expression entered is:
 $\text{Dopamine In } [> 5.0; \leq 15.0] \text{ Ug/Kg/Min } \text{ OR } \text{Epinephrine } \leq 0.1 \text{ Ug/Kg/Min } \text{ OR } \text{Norepinephrine } \leq 0.1 \text{ Ug/Kg/Min}$
- By Score:** A field with the value "3" and a description "Some description about scores."

At the bottom of the right panel, there is a button labeled "SAVE RESTRICTED COMPOSITE BOOLEAN PHENOTYPE".

Conclusion

- Novel ontology-based method to model phenotypes for **automated phenotype reasoning based on instance data** (e.g., patient data)
- Iterative reasoning approach, which **combines classification tasks with mathematical calculations** at runtime
- Can be used in **clinical context**, e.g., for supporting the diagnostic process or recruiting appropriate participants for studies
- Successfully evaluated
 - some algorithms (such as socio-economic status) were evaluated in comparison with the corresponding SPSS derivatives based on the research database of the LIFE study



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THANK YOU FOR YOUR ATTENTION!

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imise.

Implementation

PhenoMan:

- OWL API, HermiT, OpenIlet
- For calculations: Java Expression Evaluator (EvalEx)
 - enables evaluating mathematical and Boolean (e.g., Boolean operators and IF-THEN-ELSE structures) expressions
 - supports defining custom functions and operators.

Phenotype Editor:

- Desktop app designed with JavaScript and shipped as cross platform Electron app with an integrated lightweight web browser (Chromium)
- Backend service provides information and management functionalities of a PASO via REST interface (DropWizard), serves as a mediator to the PhenoMan API

Prototype available on GitHub (GPL-3.0):
https://github.com/ChristophB/ontology_service
https://github.com/ChristophB/phenotype_editor